



**Subrecipient FCOI Exception Request**

Subrecipient does not have an established Objectivity in Research / FCOI policy, entitled *Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought*, 42 CFR Part 50 Subpart F ("PHS Regulations"), as amended Aug. 24, 2012. The completion of this form, is required, in order for the Subrecipient to comply with and be subject to The Research Foundation for SUNY's policy on Financial Conflicts of Interest and Research. You must complete all of the information below for consideration by the Office of Research Administration, Pre-Award division. Completed forms should be sent via email to [researchgrants@downstate.edu](mailto:researchgrants@downstate.edu)

Subawardee Institution: \_\_\_\_\_

Subrecipient PI: \_\_\_\_\_ Email address: \_\_\_\_\_

Address: \_\_\_\_\_

DUNS #: \_\_\_\_\_ EIN #: \_\_\_\_\_ Amount: \_\_\_\_\_

SUNY PI: \_\_\_\_\_ Email address: \_\_\_\_\_

Project Title: \_\_\_\_\_

Sponsor: \_\_\_\_\_ Award #: \_\_\_\_\_

Anticipated Start Date: \_\_\_\_\_ Anticipated End Date: \_\_\_\_\_

**1. Please provide a description of the project, including the role of the subrecipient:**

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The Research Foundation for The State University of New York  
SUNY DOWNSTATE MEDICAL CENTER

Office of Research Administration, Pre-Award Division

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2. Please identify the name and role of each investigator who will work on this project. The definition of investigator is the Project Director/Principal Investigator (PD/PI) and any other individual, regardless of title or position, who is responsible for the design, conduct, or reporting of research funded by the PHS, or proposed for such funding. This term is not intended to include individuals who are purely advisory or are not sufficiently independent to be in a position to influence design, conduct or results of such Research. Please use an additional sheet if necessary.

Name \_\_\_\_\_  
Role \_\_\_\_\_

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Role \_\_\_\_\_

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Name \_\_\_\_\_  
Role \_\_\_\_\_

3. Provide a justification for why the proposed subrecipient is uniquely qualified to carry out the proposed scope of work and cannot be replaced by an organization with its own Financial Conflict of Interest policy in compliance with PHS regulations:

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